



SELF-DECLARATION & CONSENT — COMPANY / FIRM (SANCTIONS / PEP / UBO / ADVERSE MEDIA)

Document ID: MZRN-FRM-CMP-SDC-CORP-001

Version: v1.0

Status: Controlled Template (External Signature)

Effective Date: 24 January 2026

Purpose: Corporate self-declaration for screening and onboarding (AGID Lead companies/firms; corporate intermediaries; vendors where applicable).

A) ENTITY DETAILS

Legal Name (as registered): _____

Trading Name (if any): _____

Registration No.: _____ Date of Incorporation: _____

Country of Incorporation: _____ Operating Countries: _____

Registered Address: _____

Business Address (if different): _____

Tax/VAT No. (if applicable): _____

Website (if any): _____ Primary Email: _____

Primary Contact (Name/Title): _____ Phone: _____

Role / Context (tick all that apply):

- ☐ AGID Lead Introducer (fee claimant/payee)
- ☐ Intermediary / Broker Firm (non-payee)
- ☐ Supplier-side representative
- ☐ Buyer-side representative
- ☐ Vendor / Service provider
- ☐ Other: _____

Related TXID (if known): _____



B) OWNERSHIP & CONTROL DISCLOSURE (UBO + CONTROLLERS)

Definition (for this form): A “UBO” (Ultimate Beneficial Owner) is any natural person who ultimately owns or controls 25% or more of the entity, directly or indirectly, or otherwise exercises control (e.g., voting rights, control agreements, ability to appoint directors).

B1) UBO LIST (Attach additional page if needed)

Full Name	DOB	Nationality	Country of Residence	Ownership/Control %	Basis of Control	ID/Passport No.
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1.

2.

3.

B2) DIRECTORS / CONTROLLING PERSONS

Full Name	Title	Nationality	Country	ID/Passport No.	Email/Phone
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1.

2.

3.

If no UBO meets $\geq 25\%$, identify the most senior controlling persons (CEO/MD/Chair) and provide their details above.



1) SANCTIONS — CORPORATE REPRESENTATIONS

1.1 The entity represents and warrants that:

- (a) it is not listed on any sanctions list administered by the UN, US (OFAC), EU, UK (OFSI), or other applicable sanctions authorities;
- (b) it is not owned or controlled, directly or indirectly, by a sanctioned person/entity;
- (c) it does not act on behalf of, or for the benefit of, a sanctioned person/entity; and
- (d) it will not involve sanctioned persons/entities/jurisdictions in any Mezzarion-related transaction.

☐ True

☐ Disclose (details, including list, date, ownership/control, jurisdictions):

1.2 The entity confirms that its UBOs, directors, and controlling persons listed in Section B are not sanctioned and are not acting on behalf of a sanctioned party.

☐ True

☐ Disclose: _____

2) PEP / RCA EXPOSURE — ENTITY DECLARATION

Definition (for this form): A PEP includes individuals holding or having held prominent public functions and includes their Relatives and Close Associates (RCA).

2.1 The entity confirms that none of its UBOs, directors, or controlling persons are PEPs or RCAs.

☐ True

☐ Disclose (name, role, country, dates, relationship):

2.2 If any disclosed PEP/RCA exists, the entity agrees that Mezzarion may apply enhanced due diligence, restrict access, or decline onboarding.

☐ Acknowledged



3) CRIMINAL / REGULATORY / LITIGATION (LAST 7 YEARS)

3.1 The entity confirms that in the last 7 years it has not:

- (a) been convicted of, or admitted liability for, bribery/corruption, fraud, money laundering, sanctions breaches, terrorist financing, or serious financial crime;
- (b) been subject to material regulatory enforcement for financial misconduct; or
- (c) been subject to material civil claims alleging the above that remain unresolved.

☐ True

☐ Disclose (details, jurisdictions, dates, outcomes):

3.2 The entity confirms that no UBO/director/controlling person has (in the last 7 years) been convicted of serious financial crime or been subject to material regulatory enforcement for such conduct.

☐ True

☐ Disclose:

4) INSOLVENCY / BANKRUPTCY (LAST 7 YEARS)

4.1 The entity confirms that in the last 7 years it has not been insolvent, liquidated, placed under administration, or entered creditor compromise proceedings (or similar).

☐ True

☐ Disclose:

5) CONFLICTS OF INTEREST / RELATED PARTIES

5.1 The entity will disclose any direct or indirect interest in any counterparty, vendor, inspection company, logistics provider, financier, government agency, SOE, or intermediary connected to Mezzarion opportunities.

☐ Acknowledged

Disclosures (if any):



6) COMPLIANCE UNDERTAKINGS (AML / SANCTIONS / ANTI-BRIBERY)

6.1 The entity confirms it will comply with applicable AML/CTF, sanctions, and anti-bribery/anti-corruption laws and will not request, offer, authorise, or facilitate bribes, kickbacks, or facilitation payments.

☐ Acknowledged

6.2 The entity confirms it will not provide third-party payment instructions or attempt payment diversion. Any payments (if applicable) must be made only to the verified payee account in the entity's legal name as approved by Mezzarion.

☐ Acknowledged

6.3 The entity confirms that any subcontracting, assignment, or pass-through of Mezzarion documents or scope to third parties is prohibited without Mezzarion's prior written consent.

☐ Acknowledged

7) CONSENT TO SCREENING & DATA PROCESSING

7.1 The entity consents to Mezzarion conducting screening and verification on:

- the entity name;
- all disclosed UBOs, directors, and controlling persons; and
- related identifiers and addresses, including sanctions, PEP/RCA, adverse media, and database checks.

☐ Consented

7.2 The entity consents to Mezzarion sharing the entity's information and this declaration with Mezzarion's banks/financiers/insurers/auditors/legal advisers strictly on a need-to-know basis for onboarding and compliance.

☐ Consented

7.3 The entity understands that Mezzarion may retain this information for compliance and audit purposes for a legally required or commercially necessary period.

☐ Acknowledged

8) ACCURACY, ONGOING DISCLOSURE, AND CONSEQUENCES

8.1 The entity confirms that the information provided is true, complete, and not misleading.

8.2 The entity undertakes to notify Mezzarion within 5 business days of any material change affecting sanctions/PEP status, ownership/control, legal/regulatory exposure, or conflicts.

8.3 The entity acknowledges that any false or misleading statement may result in refusal of onboarding, immediate termination of access/engagement, and escalation/reporting where required by law or Mezzarion policy.

☐ Acknowledged

9) ATTACHMENTS CHECKLIST (MANDATORY PACK)

Corporate Documents

- Certificate of Incorporation/Registration
- Constitutional document (MOI/Articles or equivalent, where applicable)
- Directors list / register extract (where available)
- Share register / ownership evidence supporting UBOs (where available)
- Proof of registered address (≤ 3 months where feasible)
- Tax/VAT registration evidence (if applicable)
- Proof of bank account in entity's legal name (bank letter/statement) (*required if payee*)

Individuals (UBOs/Directors/Controllers)

- Completed Self-Declaration & Consent — Individual for each UBO/director/controller (MZRN-FRM-CMP-SDC-IND-001)
- Government-issued ID for each UBO/director/controller
- Proof of address for each UBO/director/controller (≤ 3 months) (*required for Tier 1 payees; recommended generally*)

Authority

- Board resolution / authority letter / PoA authorising the signatory to execute this declaration (where applicable)



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SIGNATURE (AUTHORISED ENTITY REPRESENTATIVE)

Company/Firm Legal Name: _____

Name of Signatory: _____ **Title:** _____

ID/Passport No.: _____ **Nationality:** _____

Email: _____ **Phone:** _____

Signature: _____ **Date:** _____

Place: _____