

# SELF-DECLARATION & CONSENT — INDIVIDUAL (PEP / SANCTIONS / ADVERSE MEDIA)

Date: \_\_\_\_\_

## A) PERSONAL DETAILS

Full Legal Name (as per ID): \_\_\_\_\_

Other Names / Aliases (if any): \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Nationality/Citizenship: \_\_\_\_\_

Country of Residence: \_\_\_\_\_

Residential Address: \_\_\_\_\_

Passport/ID No.: \_\_\_\_\_ Issuing Country: \_\_\_\_\_

Tax ID (TIN/PAN/SSN/etc., if applicable): \_\_\_\_\_

Email: \_\_\_\_\_ Phone: \_\_\_\_\_

## B) ROLE / CONTEXT (Tick all that apply)

- AGID Lead Introducer (fee claimant/payee)
- PTID Transaction Participant (observer/assistant)
- Other: \_\_\_\_\_

Related TXID (if known): \_\_\_\_\_

## 1) SANCTIONS — SELF DECLARATION

1.1 I confirm that **neither I nor any entity I own or control** is:

- (a) listed on any sanctions list administered by the UN, US (OFAC), EU, UK (OFSI), or other applicable sanctions authorities; or
- (b) owned or controlled (directly or indirectly) by a sanctioned person/entity; or
- (c) acting on behalf of, or for the benefit of, a sanctioned person/entity.

- True
- Disclose (details, including names, dates, jurisdictions, % ownership/control): \_\_\_\_\_

## 2) PEP / RCA (RELATIVES & CLOSE ASSOCIATES) — SELF DECLARATION

**Definition (for this form):** A Politically Exposed Person (“PEP”) includes any individual who holds or has held a prominent public function (e.g., senior government, senior political party, senior judiciary, senior military, senior state-owned enterprise, senior state agency), and includes **Relatives and Close Associates (“RCA”)** of such persons.

2.1 I confirm that I am **not** a PEP.

True  Disclose: \_\_\_\_\_

2.2 I confirm that I am **not** a relative or close associate (RCA) of a PEP.

True  Disclose (name, position, relationship, country, dates): \_\_\_\_\_

2.3 If I was previously a PEP or RCA, I disclose below (including end date):

Not applicable

Disclose: \_\_\_\_\_

## 3) CRIMINAL, REGULATORY, AND LITIGATION (LAST 7 YEARS)

3.1 I confirm that in the last **7 years**, I have not been:

- (a) convicted of a financial crime (fraud, theft, bribery/corruption, money laundering, sanctions breaches);
- (b) subject to material regulatory enforcement relating to financial misconduct; or
- (c) a director/officer of an entity convicted of such offences where I had a controlling role.

True

Disclose (details and dates): \_\_\_\_\_

3.2 I confirm that in the last **7 years**, I have not been involved in material civil litigation alleging fraud, corruption, money laundering, sanctions evasion, or serious professional misconduct.

True

Disclose: \_\_\_\_\_

## 4) INSOLVENCY / BANKRUPTCY (LAST 7 YEARS)

4.1 I confirm that in the last **7 years**, I have not been declared insolvent/bankrupt, entered administration, or been subject to creditor compromise proceedings.

True

Disclose: \_\_\_\_\_

## 5) CONFLICTS OF INTEREST / RELATED PARTIES

5.1 I will disclose any direct or indirect interest (financial or otherwise) in any counterparty, vendor, inspection company, logistics provider, financier, government agency, SOE, or related intermediary connected to Mezzarion opportunities.

Acknowledged

Disclosures (if any): \_\_\_\_\_

## 6) COMPLIANCE UNDERTAKINGS (ZERO TOLERANCE)

6.1 I confirm that I will comply with Mezzarion's standards including:

- AML/KYC/KYT procedures and screening requirements;
- sanctions compliance (no sanctioned parties/jurisdictions); and
- anti-bribery/anti-corruption (no bribes, kickbacks, or facilitation payments).

Acknowledged

6.2 I confirm I will not request or accept any payment from Mezzarion other than as formally agreed in writing with Mezzarion, and I will not provide third-party banking instructions.

Acknowledged

## 7) CONSENT TO SCREENING & DATA PROCESSING

7.1 I consent to Mezzarion conducting identity verification and screening, including sanctions, PEP/RCA, adverse media, and database checks, and to sharing my details with Mezzarion's banks/financiers/insurers/advisers strictly on a need-to-know basis for onboarding and compliance.

Consented

7.2 I understand that Mezzarion may retain this information for compliance and audit purposes for a legally required or commercially necessary period.

Acknowledged

## 8) ACCURACY, ONGOING DISCLOSURE, AND CONSEQUENCES

8.1 I confirm that the information provided is true, complete, and not misleading.

8.2 I undertake to notify Mezzarion within **5 business days** of any material change (sanctions/PEP status, legal issues, bankruptcy, conflicts).

8.3 I acknowledge that any false or misleading statement may result in refusal of onboarding, immediate termination of access/engagement, and reporting/escalation where required by law or Mezzarion policy.

Acknowledged

## **SIGNATURE**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Name (print): \_\_\_\_\_ Place: \_\_\_\_\_

### **Notes (internal usage guidance)**

- Use this form for **Tier 1 (AGID)** and **Tier 2 (PTID)**.
- For Tier 1 companies, you need the **company self-declaration** plus individual SDCs for directors/UBOs.