



SELF-DECLARATION & CONSENT — INDIVIDUAL (PEP / SANCTIONS / ADVERSE MEDIA)

Date: _____

A) PERSONAL DETAILS

Full Legal Name (as per ID): _____
Other Names / Aliases (if any): _____
Date of Birth: _____ Nationality/Citizenship: _____
Country of Residence: _____
Residential Address: _____
Passport/ID No.: _____ Issuing Country: _____
Tax ID (TIN/PAN/SSN/etc., if applicable): _____
Email: _____ Phone: _____

B) ROLE / CONTEXT (Tick all that apply)

- ☐ AGID Lead Introducer (fee claimant/payee)
☐ PTID Transaction Participant (observer/assistant)
☐ Other: _____
Related TXID (if known): _____

1) SANCTIONS — SELF DECLARATION

1.1 I confirm that **neither I nor any entity I own or control** is:

- (a) listed on any sanctions list administered by the UN, US (OFAC), EU, UK (OFSI), or other applicable sanctions authorities; or
(b) owned or controlled (directly or indirectly) by a sanctioned person/entity; or
(c) acting on behalf of, or for the benefit of, a sanctioned person/entity.

- ☐ True
☐ Disclose (details, including names, dates, jurisdictions, % ownership/control):

2) PEP / RCA (RELATIVES & CLOSE ASSOCIATES) — SELF DECLARATION

Definition (for this form): A Politically Exposed Person (“PEP”) includes any individual who holds or has held a prominent public function (e.g., senior government, senior political party, senior judiciary, senior military, senior state-owned enterprise, senior state agency), and includes **Relatives and Close Associates (“RCA”)** of such persons.

2.1 I confirm that I am **not** a PEP.

☐ True ☐ Disclose: _____

2.2 I confirm that I am **not** a relative or close associate (RCA) of a PEP.

☐ True ☐ Disclose (name, position, relationship, country, dates):

2.3 If I was previously a PEP or RCA, I disclose below (including end date):

☐ Not applicable

☐ Disclose: _____

3) CRIMINAL, REGULATORY, AND LITIGATION (LAST 7 YEARS)

3.1 I confirm that in the last **7 years**, I have not been:

(a) convicted of a financial crime (fraud, theft, bribery/corruption, money laundering, sanctions breaches);

(b) subject to material regulatory enforcement relating to financial misconduct; or

(c) a director/officer of an entity convicted of such offences where I had a controlling role.

☐ True

☐ Disclose (details and dates): _____

3.2 I confirm that in the last **7 years**, I have not been involved in material civil litigation alleging fraud, corruption, money laundering, sanctions evasion, or serious professional misconduct.

☐ True

☐ Disclose: _____



4) INSOLVENCY / BANKRUPTCY (LAST 7 YEARS)

4.1 I confirm that in the last **7 years**, I have not been declared insolvent/bankrupt, entered administration, or been subject to creditor compromise proceedings.

☐ True

☐ Disclose: _____

5) CONFLICTS OF INTEREST / RELATED PARTIES

5.1 I will disclose any direct or indirect interest (financial or otherwise) in any counterparty, vendor, inspection company, logistics provider, financier, government agency, SOE, or related intermediary connected to Mezzarion opportunities.

☐ Acknowledged

Disclosures (if any): _____

6) COMPLIANCE UNDERTAKINGS (ZERO TOLERANCE)

6.1 I confirm that I will comply with Mezzarion's standards including:

- AML/KYC/KYT procedures and screening requirements;
- sanctions compliance (no sanctioned parties/jurisdictions); and
- anti-bribery/anti-corruption (no bribes, kickbacks, or facilitation payments).

☐ Acknowledged

6.2 I confirm I will not request or accept any payment from Mezzarion other than as formally agreed in writing with Mezzarion, and I will not provide third-party banking instructions.

☐ Acknowledged



7) CONSENT TO SCREENING & DATA PROCESSING

7.1 I consent to Mezzarion conducting identity verification and screening, including sanctions, PEP/RCA, adverse media, and database checks, and to sharing my details with Mezzarion's banks/financiers/insurers/advisers strictly on a need-to-know basis for onboarding and compliance.

☐ Consented

7.2 I understand that Mezzarion may retain this information for compliance and audit purposes for a legally required or commercially necessary period.

☐ Acknowledged

8) ACCURACY, ONGOING DISCLOSURE, AND CONSEQUENCES

8.1 I confirm that the information provided is true, complete, and not misleading.

8.2 I undertake to notify Mezzarion within **5 business days** of any material change (sanctions/PEP status, legal issues, bankruptcy, conflicts).

8.3 I acknowledge that any false or misleading statement may result in refusal of onboarding, immediate termination of access/engagement, and reporting/escalation where required by law or Mezzarion policy.

☐ Acknowledged



SIGNATURE

Signature: _____ Date: _____

Name (print): _____ Place: _____

Notes (internal usage guidance)

- Use this form for **Tier 1 (AGID)** and **Tier 2 (PTID)**.
- For Tier 1 companies, you need the **company self-declaration** plus individual SDCs for directors/UBOs.